

Quality Requirements for Lubrication and Oil-control Systems and Auxiliaries (API)

Revision history

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Acknowledgements

This IOGP Specification was prepared by a Joint Industry Programme 33 Standardization of Equipment Specifications for Procurement organized by IOGP with support by the World Economic Forum (WEF).

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Foreword

This specification was prepared under Joint Industry Programme 33 (JIP33) "Standardization of Equipment Specifications for Procurement" organized by the International Oil & Gas Producers Association (IOGP) with the support from the World Economic Forum (WEF). Companies from the IOGP membership participated in developing this specification to leverage and improve industry level standardization globally in the oil and gas sector. The work has developed a minimized set of supplementary requirements for procurement, with life cycle cost in mind, resulting in a common and jointly agreed specification, building on recognized industry and international standards.

Recent trends in oil and gas projects have demonstrated substantial budget and schedule overruns. The Oil and Gas Community within the World Economic Forum (WEF) has implemented a Capital Project Complexity (CPC) initiative which seeks to drive a structural reduction in upstream project costs with a focus on industry-wide, non-competitive collaboration and standardization. The CPC vision is to standardize specifications for global procurement for equipment and packages. JIP33 provides the oil and gas sector with the opportunity to move from internally to externally focused standardization initiatives and provide step change benefits in the sector's capital projects performance.

This specification has been developed in consultation with a broad user and supplier base to realize benefits from standardization and achieve significant project and schedule cost reductions.

The JIP33 work groups performed their activities in accordance with IOGP's Competition Law Guidelines (November 2020).

Table of contents

Foreword.....1

Introduction3

1 Scope4

2 Normative references4

3 Terms and definitions4

4 Symbols and abbreviations5

5 Quality requirements5

 5.1 Quality management system.....5

 5.2 Conformity assessment system (CAS)5

6 Certification and traceability6

7 Evidence — conformance records6

Annex A (normative) Purchaser conformity assessment requirements7

Annex B (normative) Certification and traceability requirements9

Introduction

The purpose of this quality requirements specification (QRS) is to specify quality management requirements and the proposed extent of purchaser intervention activities for the procurement of lubrication and oil-control systems and auxiliaries in accordance with IOGP S-744 for application in the petroleum and natural gas industries.

Purchaser intervention activities are identified through the selection of one of four conformity assessment system (CAS) levels based on a risk and criticality assessment. The applicable CAS level is specified by the purchaser in the procurement data sheet or purchase order.

This QRS shall be used in conjunction with the specification (IOGP S-744), the procurement data sheet (IOGP S-744D) and the information requirements specification (IOGP S-744L) which together comprise the full set of specification documents. The introduction section in the specification provides further information on the purpose of each of these documents and the order of precedence for their use.



**JIP33 Specification for Procurement Documents
Quality Requirements Specification (QRS)**

1 Scope

To specify quality management requirements for the supply of lubrication and oil-control systems and auxiliaries to IOGP S-744 including:

- a) supplier quality management system requirements;
- b) purchaser conformity assessment (surveillance and inspection) activities;
- c) traceability requirements.

2 Normative references

For the purpose of this document, the documents referenced in IOGP S-744 and those listed below, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

API Specification Q1, *Specification for Quality Management System Requirements for Manufacturing Organizations for the Petroleum and Natural Gas Industry*

EN 10204, *Metallic products - Types of inspection documents*

IOGP S-744, *Supplementary Specification to API Standard 614 for Lubrication and Oil-control Systems and Auxiliaries*

ISO 9001, *Quality management systems — Requirements*

ISO 10474, *Steel and steel products — Inspection documents*

ISO 29001, *Petroleum, petrochemical and natural gas industries — Sector-specific quality management systems — Requirements for product and service supply organizations*

3 Terms and definitions

For the purpose of this document, the terms and definitions given in IOGP S-744 and ISO 9000 (normative to ISO 9001), and the following shall apply.

3.1

conformity assessment

demonstration that specified requirements are fulfilled

Note 1 to entry: Conformity assessment (or assessment) includes but is not limited to review, inspection, verification and validation activities.

Note 2 to entry: Assessment activities may be undertaken at a supplier/sub-supplier's premises, virtually by video link, desktop sharing, etc. or by review of information.

3.2

conformity assessment system

CAS

system that provides different levels of purchaser interventions to assess and verify supplier conformance to specified requirements

Note 1 to entry: CAS A applies to the highest risk and associated extent of verification. CAS D is the lowest.

3.3 **hold point** **H**

<conformity assessment> point in the chain of activities beyond which an activity shall not proceed without the approval of the purchaser or purchaser's representative

3.4 **witness point** **W**

<conformity assessment> point in the chain of activities that the supplier shall notify the purchaser or purchaser's representative before proceeding

Note 1 to entry: The operation or process may proceed without witness if the purchaser does not attend after the agreed notice period.

3.5 **surveillance** **S**

<conformity assessment> observation, monitoring or review by the purchaser or purchaser's representative of an activity, operation, process, product or associated information

3.6 **review** **R**

<conformity assessment> review of the supplier's information to verify conformance to requirements

4 Symbols and abbreviations

For purposes of this document, the following symbols and abbreviations apply.

CAS	conformity assessment system
IRS	information requirements specification
NDE	nondestructive examination
PMI	positive material identification
QMS	quality management system
QRS	quality requirements specification (this document)

5 Quality requirements

5.1 Quality management system

The supplier shall operate and maintain a quality management system (QMS) that conforms with ISO 9001, ISO 29001, API Specification Q1 or an equivalent quality management system standard.

5.2 Conformity assessment system (CAS)

5.2.1

The conformity assessment system (CAS) provides different levels of assessment of the supplier control activities. The CAS level is defined by the purchaser, using a risk-based approach, and included in the purchase order/contract. The defined CAS level may be adjusted by the purchaser during manufacture based on supplier performance and re-assessment of risk.

NOTE For industrial proven solutions, CAS level D is specified unless risk assessment indicates that a more stringent CAS level is required.

5.2.2

Quality plans and inspection and test plans shall include provision for purchaser intervention activities based on the CAS level selected in the procurement data sheet or purchase order. See Annex A.

5.2.3

Supplier performance in meeting the requirements may be routinely assessed during execution of the scope and where appropriate, corrective action requested and conformity assessment activities increased or decreased consistent with criticality and risk.

6 Certification and traceability

Where material certification and traceability requirements are not specified in the API 614 or IOGP S-744, material certification and traceability shall be maintained in accordance with Annex B.

7 Evidence — conformance records

Documents and information shall be provided for in accordance with IOGP S-744L.

Annex A (normative)

Purchaser conformity assessment requirements

This annex defines four conformity assessment systems (CAS) or levels of purchaser assessment.

	PURCHASER ASSESSMENT ACTIVITIES	CAS			
		A	B	C	D
1	Operational planning and control activities				
1.1	Kick-off, pre-inspection and pre-production meeting (IOGP S-744, 10.2.3, 9.1.5, 9.2.3.4)	H	W	W	R
2	Design and development activities				
2.1	Final design				
2.1.1	Final design review meeting (IOGP S-744, 6.2.13, 6.2.11, 6.2.16)	H	W	W	-
2.2	Manufacturing qualification				
2.2.1	Verification of welding and nondestructive examination (NDE) qualification process (IOGP S-744, 6.4.1.1, 6.4.1.2, 6.4.3)	S	R	-	-
3	Control of external supply				
3.1	External supply scope, risk assessment and controls (IOGP S-744, 6.8.2.6, Table 12)	H	W	-	-
4	Production and service provision				
4.1	Inspection and test activities as per IOGP S-744				
4.1.1	NDE of lifting arrangements (IOGP S-744, 6.5.6.3.1, 6.4.3, 6.5.6.2, 6.5.6.3, 9.2.1.5, 9.2.2.2)	W	W	R	-
4.2	Component manufacture				
4.2.1	Verification of material traceability and certification. See Annex B. (IOGP S-744, 7.3.3.1, 6.8.2.6, 7.3.3, Table 12)	W	S	S	-
4.2.2	Verification of component certification for electrical and control equipment (CE, UL, hazardous area, type tests) Ex certification and assembly into package (IOGP S-744, 8.1.3.2, 8.4.1, 8.4.3)	S	S	S	R
4.2.3	NDE of welds on heat exchangers, pressure vessels, pipework and baseplate (IOGP S-744, 6.4.1, 6.4.2, 6.5.2.2, 6.6.12.1, 9.2.1.5, 9.2.2.2)	W	S	S	R
4.2.4	Positive material identification (PMI) of metallic alloy materials used on components and piping (IOGP S-744, 9.2.2.3)	W	S	S	R
4.2.5	Visual inspection of code stamping and certification of pressure vessels (IOGP S-744, 6.3.3.1, 6.3.2)	W	S	R	-
4.2.6	Hydrostatic testing of pressure-containing parts including auxiliaries. Components to include pumps, heat exchangers, filters, accumulators and rundown tanks. (IOGP S-744, 9.3.2.1, 9.3.2.6, 9.3.2.6)	W	W	S	R

	PURCHASER ASSESSMENT ACTIVITIES <i>(continued)</i>	CAS			
		A	B	C	D
4.3	Sub-assembly				
4.3.1	Verification of cleanliness of components and piping assemblies (IOGP S-744, 9.2.3.1, 9.2.3.2)	W	S	S	-
4.3.2	Hydrostatic testing of piping assembly (IOGP S-744, 9.3.2.2, 9.2.1.3, 9.3.2.6.1)	H	W	S	R
4.3.3	Functional and logic check of control panel and external control interface (IOGP S-744, 8.2.2.1, 8.2.3.1, 9.3.3.5, 9.3.3.6, Table 9)	H	W	S	R
4.4	Assembly				
4.4.1	Hydrostatic test of assembled package including instrument tubing and fittings but excluding oil reservoir (IOGP S-744, 9.3.2.2, 9.3.2.6, 9.3.2.6)	H	W	S	R
4.4.2	Visual inspection of equipment, piping and cabling assembly on skid, including dimensional inspection of lube oil package (IOGP S-744, 6.5.4, 8.3.1.7.1, 10.5.1.1, 10.5.1.2, 10.5.1.3, 10.5.2.1, 10.5.2.2, 10.5.2.3, 6.1.6, 6.11.21, 6.5.1, 6.5.3.2, 6.5.8, 6.6.1.11, 6.6.1.6, 6.6.11.2, 6.6.11.3, 6.6.15.1, 6.6.3.4, 6.6.8.1, 6.6.9.1, 6.7.26.2, 6.7.27.1, 6.7.42, 6.7.45.2, 6.9.7, 7.2.1, 8.3.1.11, 8.4.11, 8.4.13, 8.4.4, 8.4.7, 8.4.7.1, 8.4.7.2, 8.4.8, 8.4.9, 8.5.29, 9.1.2, 9.3.3.14)	W	S	S	-
4.4.3	Clearances and access to components for operation and maintenance inspection (IOGP S-744, 6.2.12, 6.2.13.1, 6.2.13.10, 6.2.13.11, 6.2.13.12, 6.2.13.13, 6.2.13.14, 6.2.13.15, 6.2.13.16, 6.2.13.2, 6.2.13.3, 6.2.13.4, 6.2.13.5, 6.2.13.6, 6.2.13.7, 6.2.13.8, 6.2.13.9, 6.2.16, 6.2.25, 7.1.8)	H	W	S	R
4.5	Final tests, including factory acceptance test				
4.5.1	Operational test of lube and control oil package (IOGP S-744, 6.10.2, 8.2.2.6.8, 9.3.3.10, 9.3.3.4, 6.11.14, 6.11.16, 6.11.20.1, 6.11.20.2, 6.2.21, 6.2.5, 6.6.5.4, 6.7.23.1, 6.7.25, 8.3.12.2, 9.3.3.13, 9.3.3.5, 9.3.3.6, 9.3.3.7, 9.3.3.8, 9.3.3.9)	H	H	W	R
4.5.2	System cleanliness test prior to and after performance testing (IOGP S-744, 9.3.3.11, 9.3.3.11, 9.3.3.12, 9.3.3.13)	H	W	W	R
5	Release of product or service				
5.1	Verification of conformance to purchase order including as applicable				
5.1.1	Check that painting and coating system is in accordance with specification (IOGP S-744, 9.4.4, 9.4.4.1)	W	W	S	S
5.1.2	Loose ship items, spares and special tools inspections as applicable (IOGP S-744, 9.4.14.1, 9.4.14.3, 9.4.18, 9.4.19, 9.4.28, 9.4.29)	H	W	S	R
5.1.3	Visual and dimensional inspection against approved drawings, completeness of certification and documentation against purchase order and finalization of punch-list (IOGP S-744, 10.1.2, 10.4.3.2, 9.5.8)	H	H	W	R
5.1.4	Handling, packaging and preservation (IOGP S-744, 9.4.1.1, 9.4.14, 9.4.15, 9.4.16, 9.4.2, 9.4.24, 9.4.6, 9.4.7, 9.5.7, 9.5.9)	H	H	W	W
5.1.5	Release of equipment with clearing of punch list items (IOGP S-744, 10.4.3.2)	H	H	H	H
Key H: Hold point W: Witness point R: Review S: Surveillance					

Annex B (normative)

Certification and traceability requirements

Item		Certificate type	Traceability level	Additional requirements
Equipment item 1	Metallic components in contact with lube oil	3.1	Level II	
	Metallic components in contact with seawater	3.1	Level II	Type 3.2 Certification applicable as per design requirements
Equipment item 2	Nonmetallic components in contact with lube oil	2.1	Level III	
	Structural components	2.1	Level III	
<p>NOTE 1 Certificates Inspection certificates shall be provided in accordance with ISO 10474 or EN 10204.</p> <p>NOTE 2 Traceability A. Level I — Full traceability — Material is uniquely identified and its history tracked from manufacture through stockists (where applicable) to the supplier and to actual position on the equipment with specific location defined on a material placement record (the traceability to a specific location only applies to skids / packaged equipment, not to bulks). B. Level II — Type traceability — The supplier maintains a system to identify material throughout manufacture, with traceability to a material certificate. C. Level III — Compliance traceability — The supplier maintains a system of traceability that enables a declaration of compliance to be issued by the supplier.</p>				

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